

**SAY NO TO CORRUPTION**

No.485-Trading & Self Clearing-2017

Islamabad, 14-Jun-17

**SECURITIES AND EXCHANGE COMMISSION OF PAKISTAN**

**Securities Market Division**



**LICENCE AS SECURITIES BROKER**

The Securities and Exchange Commission of Pakistan having considered the application for licence by **Hamza Farhad Securities (Pvt.) Limited** for **Trading & Self Clearing** category of securities broker under section 68 of the Securities Act, 2015 and being satisfied that the said company is eligible for a licence under the said category, and that it would be in public interest and in the interest of the capital market so to do, hereby grants licence, in exercise of the powers conferred by section 69 of the Securities Act, 2015 to **Hamza Farhad Securities (Pvt.) Limited** subject to the provisions of the Securities Act, 2015, the rules and regulations made thereunder, as amended from time to time and subject to the following condition:

- (i) The securities broker shall not indulge in any activity other than the securities broker activity as defined in the Securities Act, 2015 and in case, any activity other than the regulated activities defined in Section 63 of the Securities Act, 2015 is provided in Memorandum of Association, the same shall be deleted/omitted from Memorandum of Association of the Company within 60-days.
2. This licence is valid up to one year from the date of issuance.

For and on behalf of  
Securities and Exchange Commission of Pakistan



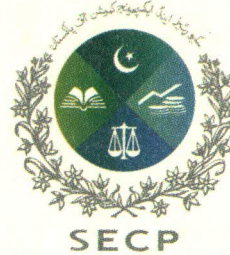
**SECP**



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**CERTIFICATE OF REGISTRATION AS AN AGENT**

In exercise of the powers conferred by section 5A of the Securities and Exchange Ordinance, 1969 (XVII of 1969), read with Brokers and Agents Registration Rules, 2001, the Securities and Exchange Commission of Pakistan, hereby grants a certificate of registration to **Mrs. Seemal Ruby** having CNIC **61101-4365969-6** as an Agent of **M/s. Hamza Farhad Securities (Pvt.) Ltd.**, who is a Trading Right Entitlement Certificate Holder of Pakistan Stock Exchange subject to the conditions prescribed in the rules aforesaid and such other conditions as may be prescribed from time to time by the Commission.

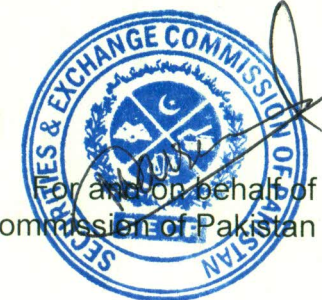
Registration number allotted is as under.

**ARP-252**

This certificate of registration shall be valid till it is suspended or cancelled in accordance with the rules.

Date: **03-11-2016**

**SECP**



Securities and Exchange Commission of Pakistan