Liquid Capital Statement

for the month of 31-JUL-17

of M/s. Hamza Farhad Securities (Pvt) Ltd.

Submission Date 15-AUG-2017 18:32:27

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S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	1,008,065	1,008,065	
1.2	Intangible Assets	4,000,000	4,000,000	
1.3	Investment in Govt. Securities Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than: i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
4.5	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities	10.004.400	0.700.010	45.000.044
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for respective securities whichever is higher.	18,661,460	2,799,219	15,862,241
	ii. If unlisted, 100% of carrying value.	30,346,030	30,346,030	
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
1.6	provided that shares have not been alloted or are not included in the investments of securities broker. Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
1.8	ii. If unlisted, 100% of net value. Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	1,600,000	1,600,000	
1.0	or any other entity.	1,000,000	1,000,000	
1.9	Margin deposits with exchange and clearing house.	4,480,985	0	4,480,985
1.10	Deposit with authorized intermediary against borrowed securities under SLB. Other deposits and prepayments			
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities			
	etc.(Nil)			
1.13	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties Dividends receivables.			
1.14	Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
1.15	shall not be included in the investments.) i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months			
1.10	I short form Edul to Employees. Edul is are seed and Bue to repayment within 12 months			
111	ii. Receivables other than trade receivables			
1.16	Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.			
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.			
	iv. Balance sheet value			
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	36,016,361	9,081,885	9,081,885
	value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.			
	vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances			
	i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts	830 3,291,911	0	
	iii. Cash in hand	3,291,911	0	3,291,911
1.19	Total Assets	99,415,663	48,835,199	
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house	948,055	0	948,055
	ii. Payable against leveraged market products iii. Payable to customers	1,177,252	0	1,177,252
	pin i djano to odnomon	1,177,232	0	1,177,202

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for the month of 31-JUL-17

of M/s. Hamza Farhad Securities (Pvt) Ltd.

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2.2 Current Liabilities				Page	2 01 3
Substitute and applications desired	S.No.	Head of Account			Net Adjusted Value
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Current portion of boat term labelities		ii. Accruals and other payables			
v. Current partition of furnit port liabilities di Diobosoni for text partition of furnit portition de liabilities di Diobosoni for text publication di Diobosoni					
vi. Portional Isabilities vi. Provides for treatable vi. Long-ferm financing a. Long-ferm financing a. Long-ferm financing but financing obtained from financial institution. Long term protion of financing obtained from a financial institution including amount due against financial terms for the financial state of the financial statements d. there is no unexponsible financial state of states against advance and all aguitatory requirements stateins to the increase in produce of the financial statements d. there is no unexponsible that such advance is against the increase of ceptal e. Auditor is satisfied that such advance is against the increase of ceptal e. Auditor is satisfied that such advance is against the increase of ceptal 11,000, or Subcordinated bears which fulfill the conditions specified by SCD are allowed to be deducted that such advance is against the increase of ceptal 11,000, or Subcordinated brank which fulfill the conditions specified by SCD are allowed to be deducted of the financial statements. 11,000, or Subcordinated brank which fulfill the conditions specified by SCD are allowed to be deducted of the financial statement must be submitted to sex harge. 11,000, or Subcordinated brank which the subcordinate specified by SCD are allowed to be deducted to be conditions specified by SCD are allowed to be deducted to be conditions as specified by SCD are allowed to be deducted to be conditions as specified by SCD are allowed to be deducted					
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Long- Jerm financing a Long- Jerm financing obtained from financial institution: Long term portion of financing obtained from a financial institution including amount due against finance lease b. Other long- term financing iii. Staff reterment benefits iii. Advance against shares for increase in Capital of Securities boker: 100% halcut may be allowed in a. The vestiling authorized share capital allows the proposed enhanced share capital b. Boad of Direction of the company has approved the increase in capital c. Relevant Regulatory approveds have been obtained d. There is no unreasonable delay in seus of Pharea against advance and all regulatory requirements elating to the increase in paid up capital hove been completed elating to the increase in paid up capital hove been completed elating to the increase in paid up capital hove been completed elating to the increase in paid up capital hove been completed elating to the increase in paid up capital hove been completed elating to the increase in paid up capital hove been completed elating to the increase in paid up capital hove been completed elating to the increase in paid up capital hove been completed elating to the increase in paid up capital hove been completed elating to the increase in paid up capital hove been completed elating to the increase in paid up capital hove been completed elating to the increase in paid up capital hove been completed elating to the increase in paid up capital hove been completed elating to the increase in paid up capital hove been completed elating to the increase in paid up capital hove been completed elating to the increase in paid up capital and the paid to the financial capital and the paid to the financial capital capital and the paid to the financial capital capital and the paid to the financial capital capital capital and the paid the paid to the financial capital c	2.3				
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a financial institution including amount due against finance lease D. Other from					
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iii. Advance against shares for increases in Capital of Securities booker: 100% haircut may be allowed in respect of divance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of blectors of the company has approved the increase in capital d. Deep of the company has approved the increase in capital d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. c. Auditor is satisfied that such advance is against the increase of capital. V. Other labilities as pre-accounting principles and included in the financial statements. 1.1005 of Subconflanted Loans 1.1005 of Subconflanted Loans that the state of the state of the state of the conditions specified by SLCP in this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly freate; the amount to be repaid after 12 months of reporting period b. No harized will be allowed against advanced must clearly reflect the amount to be repaid after 12 months of reporting period b. No harized will be allowed against advanced must clearly reflect the amount to be repaid after 12 months of reporting period b. No harized will be allowed against advanced must clearly reflect the amount to be repaid after 12 months. c. In case of early repayment of from, adjustment shall be made to the Liquid Capital and revised Liquid ii. Subcollinated loans which do not fulfill the conditions specified by SCP 2.5 Total Liabilities Relating to : 3.1 Concentration in Nating in financing The amount calculated client-to-c client basis by which any arrount receivable from any of the financies. 3.2 Concentration in securities lending and borrowing The amount calculated client-to-c client basis by which any arround receivable					
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Concentrated proprietary positions	3.8				
		positionitation proprietary positions			

Liquid Capital Statement

for the month of 31-JUL-17

of Ms. Hamza Farhad Securities (Pvt) Ltd.

Submission Date 15-AUG-2017 18:32:27

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s.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	0	1,581,188	1,581,188
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts	4,081,411	301,749	301,749
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met	1,881,518	132,172	132,172
3.10	Short sellI positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	5,962,929	2,015,109	2,015,109
3.12	Liquid Capital	48,581,691	15,274,354	17,387,457