Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **30-APR-20**

of M/s. Hamza Farhad Securities (Pvt) Ltd.

Submission Date 15-MAY-2020 12:23:22

1.2 Intangible Assets 2,500,000 2,50 1.3 Investment in Govt. Securities	ts 5,323 0,000 5,064		
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1.9 Margin deposits with exchange and clearing house. 1,716,263 1.10 Deposit with authorized intermediary against borrowed securities under SLB. 1.11 1.11 Other deposits and prepayments 1.11			
1.11 Other deposits and prepayments	0	1	1,716,263
etc.(Nil)			
100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13 Dividends receivables. 1.14 Amounts receivable against Repo financing.			
Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)			
1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months			
ii. Receivables other than trade receivables 1.16 Receivables from clearing house or securities exchange(s)			
i. 100% value of claims other than those on account of entitlements against trading of securities in all			
markets including MtM gains.			
ii. Receivable on entitlements against trading of securities in all markets including MtM gains. 3,170,700 1.17 Receivables from customers.	0	3	3,170,700
1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VaR based haircut.			
i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value.		<u> </u>	
ii. Net amount after deducting haircut			
iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,			
iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.			
iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 45,986,971 4,90	6,827		4,906,827
value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.	5,021		1,000,021
vi. 100% haircut in the case of amount receivable form related parties.		<u> </u>	
1.18 Cash and Bank balances			
i. Bank Balance-proprietory accounts 3,213 ii. Bank balance-customer accounts 6,480,525	0		3,213 6,480,525
ii. Cash in hand			5,400,525

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **30-APR-20**

of M/s. Hamza Farhad Securities (Pvt) Ltd.

Submission Date 15-MAY-2020 12:23:22

B.107 Each of Account Value 41 (Value 42) Bails Cat/ (Value 4		Submission Date 13-IMAT-2020 12.23.22		Page	2 Of 3
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21 Index Payables 0 22 Construction conclusion and design (make) products 0 23 Construction (make) products 0 24 Construction (make) products 0 25 Construction (make) products 0 26 Construction (make) products 0 27 Construction (make) products 0 28 Construction (make) products 0 29 Construction (make) products 0 20 Construction (make) products 0 21 Non-Construction (make) products 0 21 Non-Construction (make) products 0 22 Non-Construction (make) products 0 23 Non-Construction (make) products 0 24 Non-Construction (make) products 0 25 Non-Construction (make) products 0 26 Non-Construction (make) products 0 27 Non-Construction (make) product make products 0 26 Non-Construction (make) product make products 0 27 Non-Construl bibliiiii 0 0	1.19	Total Assets	104,367,647	51,094,770	17,099,560
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22 Current Liabilities			0.643.025	0	0.642.025
1 Statutory and regulatory dues	2.2		9,040,920	0	9,043,923
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23 Non-Current Liabilities Image: Comparison of the second of the s			418 541	0	418 541
Ling-Term financing	2.3		110,011		110,011
a Long-Term Thrancing obtained from financial institution: Long term portion of financing obtained from a financial institution including amount due against finance lease.					
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Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **30-APR-20**

of M/s. Hamza Farhad Securities (Pvt) Ltd.

Submission Date 15-MAY-2020 12:23:22

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	0	75,948	75,948
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	75,948	75,948
3.12	Liquid Capital	90,505,181	47,218,822	6,961,146