Liquid Capital Statement

for the month of 31-JAN-21

of M/s. Hamza Farhad Securities (Pvt) Ltd.

Submission Date 15-FEB-2021 18:24:22

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s.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	759,818	759,818	
1.2	Intangible Assets	2,500,000	2,500,000	
1.3	Investment in Govt. Securities			
1.4	Investment in Debt. Securities			
	If listed than: 1. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year. ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	292,036	43,805	248,231
	respective securities whichever is higher. ii. If unlisted, 100% of carrying value.	32,819,235	32,819,235	
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money	02,010,200	02,010,200	
	provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher. ii. If unlisted, 100% of net value.			
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository			
1.0	or any other entity.	4 000 754		4 000 754
	Margin deposits with exchange and clearing house. Deposit with authorized intermediary against borrowed securities under SLB.	4,262,751	0	4,262,751
1.11	Other deposits and prepayments			
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities			
	etc.(Nil) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables.			
1.14	Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
	shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months			
	ii. Receivables other than trade receivables			
1.16	Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains.	3,698,839	0	3,698,839
1.17	Receivables from customers	3,000,000	_	3,000,000
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	1,566,529	0	1,566,529
	iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	52,932,671	4,043,819	4,043,819
	value of securities purchased for customers and held in sub-accounts after applying VAR based	,,0.	.,,	.,,
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances			
	i. Bank Balance-proprietory accounts	5,905	0	
	ii. Bank balance-customer accounts	267,806		
	iii. Cash in hand	6,317	0	6,317

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Total Assets 98.11.507 44.106.07 14.100.197 14.	S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
Lebblines Lebb	S.NO.	head of Account			
Trade Payables	1.19	Total Assets	99,111,907	40,166,677	14,100,197
Payable to exchange and clearing house Engage to customes	2	Liabilities			
Everyptic against lewraged market products 4,304,175 0, 4306,176 1, 200,1775 2, 200,1775 1, 200,1775	2.1	Trade Payables			
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Carrent Liabilities			4 304 178	0	4 304 178
ii. Accusis and other payables iii. Short-term borrowing iv. Current potion of subudivated bors v. Determination of subudivated bors v. Determination of subudivated bors v. Determination of subudivated bors vi. Povision for tast disbilities vi. Povision for tast disbilities vii. Povision for tast disbilities viii. Po	2.2		1,001,110	J.	1,001,110
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Long-ferm financing	2.2		1,124,657	0	1,124,657
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iii. Staff retirement benefits iiii. Advance against shares for increase in Capital of Securities broker. 100% halicult may be allowed in respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Retervant Regulatory approvsh have been obtained relating to the increase in paid up capital have been completed. e. Auditor is staffed that such advance and advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is staffed that such advance as against the increase of capital. iv. Other liabilities as per accounting principles and included in the financial statements. 2.4 Subordinated Loans i. 100% of Subordinated Loans which fulfill the conditions specified by SECP are allowed to be deducted: ii. 100% of Subordinated Loans which fulfill the conditions specified by SECP are allowed to be deducted: iii. 100% of Subordinated Loans which fulfill the conditions specified by SECP are allowed to be required after 12 months of reporting period. a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period. B. No hair and the substance of a part of the substance of the liquid Capital and revised Liquid Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP 2.5 Total Liabilities Relating to: 3. Concentration in Margin Financing The amount calculated client to-client bask by which any amount receivable from any of the finances exceed 10% of the aggingate of amounts receivable from total finances. 3.2 Concentration in securities lending and borrowing The amount by which the aggingate of amounts receivable from total finances. 3.3 Net underwriting Commitments (ii) In each case of tight Issues: if the market value of securities is less than or equal to the subscription price; the agging		a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
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(ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Halicut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Halicut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO					
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3.6 Amount Payable under REPO					
3.7 Repo adjustment					
	3.7	Repo adjustment			

Liquid Capital Statement

for the month of 31-JAN-21

of Ms. Hamza Farhad Securities (Pvt) Ltd.

Submission Date 15-FEB-2021 18:24:22

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S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received, less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sellI positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	89,883,072	36,366,677	8,671,362