Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **31-AUG-21** 

of M/s. Hamza Farhad Securities (Pvt) Ltd.

Submission Date 15-SEP-2021 18:30:15

			Page	1 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	881,691	881,691	
1.2	Intangible Assets Investment in Govt. Securities	2,500,000	2,500,000	
1.3	Investment in Govt. securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	<ul> <li>ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.</li> <li>iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.</li> </ul>			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	<ul> <li>ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.</li> <li>iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.</li> </ul>			
1.5	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	41,525	6,229	35,296
	respective securities whichever is higher. ii. If unlisted, 100% of carrying value.	46.945.308	46.945.308	
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money	10,0 10,000	1010 101000	
	provided that shares have not been alloted or are not included in the investments of securities broker. iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
1.8	ii. If unlisted, 100% of net value. Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository			
1.0	or any other entity.			
1.9	Margin deposits with exchange and clearing house. Deposit with authorized intermediary against borrowed securities under SLB.	4,076,802	0	4,076,802
1.10	Other deposits and prepayments			
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil)			
1.10	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables. Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months			
1 1 (	ii. Receivables other than trade receivables			
1.16	Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains.			
1.17	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.	453,943	0	453,943
1.17	Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut. i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, with the required effort deducible bories to the second			
	iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value	764,177	0	764,177
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based	30,902,644	6,235,343	6,235,343
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.			
	vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances	070		0.50
	i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts	658 1,646,763	0	
1	iii. Cash in hand	103,221	0	

Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **31-AUG-21** 

of M/s. Hamza Farhad Securities (Pvt) Ltd.

Submission Date 15-SEP-2021 18:30:15

3.No.         Bask of Added         Value in the Register of Section 1         Bits Chif (k) isocols         Bits C		Submission Date 13-3LF-2021 10.30.13		Page	2 Of 3
2       Ibenities       1         31       Tode Psychia       2         12       Tode Psychia       2         13       Tode Psychia       2         14       Status       2         15       Tode Psychia       2         16       Tode Psychia       2         17       Tode Psychia       2         18       Tode Psychia       2         19       Tode Psychia       2         10       Tode Psychia       2         10       Tode Psychia       2         10       Tode Psychia       2         10       Tode Psychia       2         11       Tode Psychia       2	S.No.	Head of Account			
21       Index Psychia       2867,788       2         22       Control to solution phone       2867,788       0       2.887,788       0       3.	1.19	Total Assets	88,316,732	56,568,571	13,316,203
I Payabio Suscentrage and Elevation House         2.887,788         0         2.867,788         0         2.867,788         0         2.867,788         0         2.867,788         0         2.867,788         0         2.867,788         0         2.867,788         0         2.867,788         0         2.867,788         0         2.867,788         0 <td>2</td> <td></td> <td></td> <td></td> <td></td>	2				
II. Psychia capanal because model (modulo):         0         2.867.798         0         2.867.788         0         3.867.788         0         3.867.788 </td <td>2.1</td> <td>Trade Payables</td> <td></td> <td></td> <td></td>	2.1	Trade Payables			
III. Physical is captures     2.887.789     0     2.887.789       22     Current Labilities     3       31     Autory and regulatory data.     3       III. Short some backwords     3       III. Short some backword					
12       Current Liabilities			2 967 709	0	2 967 709
Is Statutary and stapplants.	2.2		2,007,790	0	2,007,790
III. Shart-term borowing:         III. Shart-term borowing:         III. Shart-term borowing:           V. Cateri II. Product for the debia         III. Shart-term borowing:         III. Shart-term borowing:           VII. Product for bad debia         III. Shart-term borowing:         III. Shart-term borowing:           VII. Product for bad debia         III. Shart-term borowing:         III. Shart-term borowing:           VII. Product for bad debia         III. Shart-term borowing:         III. Shart-term borowing:           VII. Product for bad debia         III. Shart-term borowing:         III. Shart-term borowing:           III. Long term borowing:         III. Shart-term borowing:         III. Shart-term borowing:           III. Shart-term borowing:         III. Shart-term borowing:         IIII. Shart-term borowing:           III. Shart-term borowing:         IIII. Shart-term borowing:         IIII. Shart-term borowing:           III. Shart-term borowing:         IIII. Shart-term borowing:         IIIII. Shart-term borowing:           III. Shart-term borowing:         IIIIII. Shart-term borowing:         IIIIIII. Shart-term borowing:           III. Shart-term borowing:         IIIIIIIIIIIIIIII. Shart-term borowing:         IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII					
v. Current polition of subcellinated learn		ii. Accruals and other payables			
v. Current postion of long term liabilities					
vi Defend Liabilities         vi Defend Liabilities         vi Defend Link					
will. Providen Dir Lakation		vi. Deferred Liabilities			
is. Other liabilities apper accounting principles and included in the financial statements         428.815         0         428.815           23         Non-Current Liabilities         1 <td< td=""><td></td><td></td><td></td><td></td><td></td></td<>					
23       Non-Current Liabilities       Image: Second Secon			426.815	0	426.815
a Long-Term financing obtained from financial institution. Long term portion of financing obtained from a financial institution including amount due against finance lease.         Image: Control of C	2.3			-	- /
a Long-Term financing obtained from financial institution. Long term portion of financing obtained from a financial institution including amount due against finance lease.         Image: Control of C		i. Long-Term financing			
b. Other Lon-term financing		a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
iii. Staff relixement benefits         iii. Staff relixement benefits         iii. Staff relixement benefits           iii. Benarce againts thates for increase in Capital of Securities boker. 100% halicut may be allowed in respect of advance againts thates if:         iii. Increase in capital           iii. Benard of Directions of the company has approved the increase in capital         iii. Benard of Directions of the company has approved the increase in capital           iii. Benard of Directions of the company has approved the increase of capital.         iiii. Iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii		a financial institution including amount due against finance lease			
III. Advance against shares for incease in Capital of Securities troker. 100% halicut may be allowed in respect of advance against shares for incease in capital allows the proposed enhanced share capital         Image: Capital advance against shares been obtained           a. The existing authorized share capital allows the proposed enhanced share capital         Image: Capital advance against advance and all regulatory requirements instaining to the incease in paid up capital have been completed on plant.         Image: Capital Cap		ii. Staff retirement benefits			
a. The existing authorized share capital allows the proposed enhanced share capital         b. Boad of Directors of the company has approved the increase in capital         c. Relevant Regulatory approvals have been obtained         d. There is no unreasonable delay in subsection the increase in capital         c. Relevant Regulatory approvals have been completed.         c. Relevant Regulatory Approvals have been completed conos which fulfil the conditions specified by SCP in the r		iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
b. Boad of Directors of the company has approved the increase in capital         c. Relevant Regulatory approvals have been obtained           c. Relevant Regulatory approvals have been obtained         and the sin unreasonable delay in issue of shares against advance and all regulatory requirements           relation is a statistical that such advance is against advance and all regulatory requirements         issue of shares against advance is against advance and all regulatory requirements           relation is a statistical that such advance is against advance and all regulatory requirements         issue of shares against advance is against advance is against advance and all regulatory requirements           relation is a statistical that such advance is against advance and all regulatory requirements         issue of shares against advance is against advance and all regulatory requirements           relation is a statistical that such advance is against advance and all regulatory requirements         issue of shares against advance and all regulatory requirements           relation is a statistical that such advance is against advance and all regulatory requirements         issue of shares against advance and all regulatory requirements           relation is against advance and all regulatory requirements         issue of shares against advance and all regulatory requirements           relation is agreent must be executed on stamp paper and must clearly reflect the amount to be repaid         issue of shares           after is atterement must be executed on the wich is regaristive within the conditions age: a sterified issue of the aggeind at ox exchange         iss					
C. Relevant Regulatory approvals have been obtained     d. There is no unreasonable delay in subset of shares against advance and all regulatory requirements     relating to the increase in paid up capital have been completed.     e.Auditor is satified that such advances against advance and all regulatory requirements     e.Auditor is satified that such advances is against the increase of capital.     i. Other liabilities as per accounting principles and included in the financial statement.     24     Subordinated Loans     Li00% of Subordinated Loans which fulfill the conditions specified by SECP are allowed against subordinated coans which fulfill the     conditions specified by SECP in this regard, following conditions are specified:     a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid     after 12 months of reporting period     b. No haicut will be allowed against subordinated Loans which fulfill the     conditions specified by SECP     i. Subordinated loans which do not fulfill the conditions specified by SECP     i. Subordinated loans which do not fulfill the conditions specified by SECP     i. Subordinated clears to one fulfill the conditions specified by SECP     i. Subordinated clears to cent basis by which any amount receivable from any of the     finances exceed 10% of the aggregate of     in out acculated cleff to-ic cent basis by which any amount receivable from any of the     finances excureds lending and borrowing     the manut calculated cleff to-ic cleft basis by which any amount receivable from any of the     finances secret file of securities between the Natel period     in the case of ight issue: if the market price of the market value of shares     borrowed     ii) the case of ight issue: if the market price of the market value of shares     borrowed     iii) the case of ight issue where the market price of the securities.     in the case of ight issue: if the market price of securities is greater than the subscription     price: the ag					
elating to the increase in paid up capital have been completed.		c. Relevant Regulatory approvals have been obtained			
e. Auditor is satisfied that such advance is against the increase of capital.     iv. Other liabilities as per accounting principles and included in the financial statements     iu.     iu. Other liabilities as per accounting principles and included in the financial statements     iu.     iu.     iu. Other liabilities as per accounting principles and included in the financial statements     iu.     iu.     iu. Other liabilities as per accounting principles and included in the financial statements     iu.     iu.     iu. Subordinated Loans which fulfill the conditions specified     a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid     after 12 months of reparting period     b. No haircut will be allowed against short term portion which is repayable within next 12 months.     c. In case of early reparent of Ioan, adjustment shall be made to the Liquid Capital and revised Liquid     Capital statement must be submitted to exchange.     ii. Subordinated loans which do not fulfill the conditions specified by SECP     iii. Subordinated loans which do not fulfill the conditions specified by SECP     iii. Subordinated loans which do not fulfill the conditions specified by SECP     iii. Subordinated loans which do not fulfill the conditions specified by SECP     iii access case of stype sequences of Ioan.     iii access case of stype sequences of Ioan.     iii access case of the sequences of the aggregate of amount secelebable from total financess.     iii access of stype securities lending and borrowing     The amount by which the aggregate of amount secelebable from total finances.     iii access of stype securities lending and borrowing     The mater value of securities pledged as margins exceed the 110% of the market value of shares     borrowed     iii the case of right issues: if the market value of securities is less than or equal to the subscription     price: the aggregate of.     iii the case of right issues: if the market value of securities is less than or					
iv. Other liabilities as per accounting principles and included in the financial statements       Image: Constraint of Coardinated Loans         2.4       Subordinated Loans       Image: Coardinated Loans       Image: Coardinated Loans         1.100% of Subordinated Loans which fulfill the conditions specified by SECP in this regard, following conditions are specified.       Image: Coardinated Loans       Image: Coardinated Loans         a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period       Image: Coardinated Loans       Image: Coardinated Loans         c. In case of early repayment of Ioan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be executed to exchange.       Image: Coardinated Loans which do not fulfill the conditions specified by SECP         2.5       Total Liabilities       3.294,613       0       3.294,613         3       Ranking Liabilities Relating to :       Image: Coardinated Loans by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from any of the finances.       Image: Coardinated Coardinated of Coardinated Coardinat					
1100% of Subordinated loars which fulfill the conditions specified by SECP are allowed to be deducted: The Schedule III provides that 100% haicut will be allowed against subordinated Loans which fulfill the conditions specified by SECP in this regard, following conditions are specified:       a. Loan argement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period.         b. No haicut will be allowed against short term portion which is repayable within next 12 months. c. in case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be subordined to exchange.         ii. Subordinated loars which do not fulfill the conditions specified by SECP       3.294,613       0       3.294,613       0         2.5       Total Liabilities Relating to :       3.294,613       0       3.294,613       0         3.1       Concentration in Margin Financing The amount zalculated client-to- client basis by which any amount receivable from any of the finances exceed 1% of the aggregate of amounts receivable from total finances.       1       1         3.2       Concentration in securities lending and borrowing The amount by which the aggregate of a       1       1         (i) Amount deposited by the borrower with NCCPL (i) Cash margins paid and (iii) The market value of securities in lease that nor equal to the subscription price: the aggregate of: (i) the size of right issue of excurties is less than or equal to the subscription price. The aggregate of (i) the size of right issue where the market value of securities is greater than the subscription price, 5% of the Halloci the underwrit		iv. Other liabilities as per accounting principles and included in the financial statements			
The Schedule III provides that 100% halcut will be allowed against subordinated Loars which fulfill the conditions specified by SECP. In this regard, following conditions are specified:       a. Loan agreement must be excluted on stamp paper and must clearly reflect the amount to be repaid after 12 months of cone. adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.       a. Exclusion of the cone. adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.         2.5       Total Liabilities       3.294,613       0       3.294,613         3       Ranking Liabilities Relating to :       3.294,613       0       3.294,613         3.1       Concentration in Margin Financing.       memount calculated client-to-client basis by which any amount receivable from any of the financees exceed 10% of the agregate of amounts receivable from total financees.       1       Concentration in Securites lending and borrowing         The amount of public splat and       (ii) Amount deposited by the borrower with NCCPL       0       1         (iii) The market value of securites pledged as margins exceed the 110% of the market value of shares borrowed       1       1         3.3       Net underwriting commitments and (ii) the case of right issue which the market value of securites is less than or equal to the subscription price: the aggregate of.       1       1         (iii) The market value of securities is less than or equal to the subscription price: the aggregate of the underwriting commitments succeds the mark	2.4				
3       Ranking Liabilities Relating to :		The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.			
3       Ranking Liabilities Relating to :	0.5		0.004.040	0	0.004.040
3.1       Concentration in Margin Financing         The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.			3,294,613	0	3,294,613
The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.         3.2       Concentration in securities lending and borrowing         The amount by which the aggregate of:       (i) Amount deposited by the borrower with NCCPL         (ii) Cash margins paid and       (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed         3.3       Net underwriting Commitments       (i) in the case of right issues : if the market value of securites is less than or equal to the subscription price; the aggregate of:       (i) the case of right issues : if the market value of securites is less than or equal to the subscription price; the aggregate of:         (i) the save by which the underwriting commitments and       (ii) the value by which the underwriting commitments exceeds the market price of the securities.       (i) the case of right issues where the market price of securities is greater than the subscription price; 5% of the Haircut multiplied by the underwriting commitments         (b) in any other case : 12.5% of the net underwriting commitments       (ii) the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (assets denominated in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency         3.5       Foreign exchange agreements sub total liabilities denominated in foreign currency <td></td> <td></td> <td></td> <td></td> <td></td>					
3.2       Concentration in securites lending and borrowing         The amount by which the aggregate of:       (i) Amount deposited by the borrower with NCCPL         (ii) Cash margins paid and       (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed         3.3       Net underwriting Commitments       (i) the case of right issue: if the market value of securities is less than or equal to the subscription price; the aggregate of:       (i) the solut of the underwriting commitments and         (ii) the value by which the underwriting commitments and       (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of right issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the nuderwriting commitments       (iii) the value of subsidiary         3.4       Negative equity of subsidiary       (excluding any amount due from the subscription price, 5% of the Haircut multiplied by the subsidiary) exceed the total liabilities of the subsidiary       (excluding any amount due from the subsidiary)         3.5       Foreign exchange agreements and foreign currency position in foreign currency means the difference of total assets denominated in foreign currency. Net position in foreign currency       (a)         3.5       Foreign exchange agreements and foreign currency means the difference of total assets denominated in foreign currency. Net position in foreign currency       (a)         3.6       Amount Payable under REPO       (a)       (b)	5.1				
The amount by which the aggregate of:       (i) Amount deposited by the borrower with NCCPL         (ii) Cash margins paid and       (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed         3.3       Net underwriting Commitments       (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of:       (i) the 50% of Haircut multiplied by the underwriting commitments and         (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of right issuse where the market price of securities is greater than the subscription price; 5% of the Haircut multiplied by the net underwriting commitments       (b) in any other case: 12.5% of the net underwriting commitments         3.4       Negative equity of subsidiary       (a) for easy of the subsidiary (excluding any amount due from the subsidiary)         3.5       Foreign exchange agreements and foreign currency positions       (a) for here to all isbilities of the subsidiary (excluding any amount due from the subsidiary)         3.6       Amount Payable under REPO       (b) any other case: 12.5% of the net subsidiary (excluding any amount due from the subsidiary)					
(i) Amount deposited by the borrower with NCCPL         (ii) Cash margins paid and         (iii) Cash margins paid and         (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed         3.3       Net underwriting Commitments         (a) in the case of right issue: if the market value of securities is less than or equal to the subscription price; the aggregate of:         (i) the 50% of Haircut multiplied by the underwriting commitments and         (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of right issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments         3.4       Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary         3.5       Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency         3.6       Amount Payable under REPO	3.2				
(a) in the case of right issue : if the market value of securites is less than or equal to the subscription price; the aggregate of:       (i) the 50% of Haircut multiplied by the underwriting commitments and         (ii) the solue by which the underwriting commitments exceeds the market price of the securities. In the case of right issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments         3.4       Negative equity of subsidiary         The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary         3.5       Foreign exchange agreements and foreign currency positions         5% of the net position in foreign currency.Net position in foreign currency         3.6       Amount Payable under REPO		<ul> <li>(i) Amount deposited by the borrower with NCCPL</li> <li>(li) Cash margins paid and</li> <li>(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares</li> </ul>			
price: the aggregate of:       (i) the 50% of Haircut multiplied by the underwriting commitments and       (ii) the 50% of Haircut multiplied by the underwriting commitments exceeds the market price of the securities. In the case of rights issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments       Image: Commitment of the subscription price, 5% of the Haircut multiplied by the net underwriting commitments         3.4       Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary       Image: Commitment of the subsidiary         3.5       Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency       Image: Commitment of the currency less total liabilities denominated in foreign currency         3.6       Amount Payable under REPO       Image: Commitment of the currency less total liabilities denominated in foreign currency	3.3				
(i) the 50% of Haircut multiplied by the underwriting commitments and       (ii) the value by which the underwriting commitments exceeds the market price of the securities.         In the case of rights issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments       (i) the value by which the underwriting commitments exceeds the market price of the securities.         3.4       Negative equity of subsidiary       (ii) the value by which the total assets of the subsidiary (excluding any amount due from the subsidiary)         3.4       Negative equity of subsidiary       (iii) the value by which the total assets of the subsidiary (excluding any amount due from the subsidiary)         3.5       Foreign exchange agreements and foreign currency positions       (iii) the reliabilities of the reliabilities denominated in foreign currency         3.6       Amount Payable under REPO       (iii) the subsidiary (iii) the reliabilities denominated in foreign currency					
In the case of rights issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting       (b) in any other case : 12.5% of the net underwriting commitments         3.4       Negative equity of subsidiary       (a)					
the Haircut multiplied by the net underwriting					
(b) in any other case : 12.5% of the net underwriting commitments       (b) in any other case : 12.5% of the net underwriting commitments         3.4       Negative equity of subsidiary       (b) in any other case : 12.5% of the net underwriting commitments         3.4       Negative equity of subsidiary       (b) in any other case : 12.5% of the net total assets of the subsidiary (excluding any amount due from the subsidiary)         exceed the total liabilities of the subsidiary       (c)					
3.4       Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary       Image: Constraint of the subsidiary (for the subsidiary)         3.5       Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency       Image: Constraint of the subsidiary (for the subsidiary)         3.6       Amount Payable under REPO       Image: Constraint of the subsidiary (for the subsidiary (for the subsidiary))		(b) in any other case : 12.5% of the net underwriting commitments			
exceed the total liabilities of the subsidiary       3.5         Foreign exchange agreements and foreign currency positions       5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency         3.6       Amount Payable under REPO	3.4	Negative equity of subsidiary			
3.5       Foreign exchange agreements and foreign currency positions         5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency         3.6       Amount Payable under REPO					
5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency         3.6       Amount Payable under REPO	3.5				
assets denominated in foreign cuurency less total liabilities denominated in foreign currency         3.6       Amount Payable under REPO					
		assets denominated in foreign cuurency less total liabilities denominated in foreign currency			
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Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **31-AUG-21** 

of M/s. Hamza Farhad Securities (Pvt) Ltd.

Submission Date 15-SEP-2021 18:30:15

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	0 56,568,571	10.021.500
3.12	Liquid Capital	85,022,119	36,568,571	10,021,590