

SECURITIES AND EXCHANGE COMMISSION OF PAKISTAN
Licensing & Registration Division

No: 485/Securities Broker/2024

Islamabad, 01-Jan-2024



LICENSE AS SECURITIES BROKER

The Securities and Exchange Commission of Pakistan having considered the application for renewal of license by **Hamza Farhad Securities (Pvt.) Limited** for securities broker under the **Trading and Self Clearing** category under section 68 of the Securities Act, 2015 and under section 51 of Futures Market Act, 2016 and being satisfied that the said company is eligible for a license, and that it would be in public interest and in the interest of the capital market so to do, hereby grants renewal of license, in exercise of the powers conferred by section 69 of the Securities Act, 2015 and under section 52 of the Futures Market Act, 2016 to **Hamza Farhad Securities (Pvt.) Limited** subject to the provisions of the Securities Act, 2015 and the Futures Market Act, 2016 and the rules and regulations made thereunder, as amended from time to time.

2. This license shall be valid till December 31, 2024.

Signature of the Officer



SAY NO TO CORRUPTION

No. 485/Securities Broker/2023

SECURITIES AND EXCHANGE COMMISSION OF PAKISTAN
Licensing & Registration Division

Islamabad, 01-Jan-2023



LICENSE AS SECURITIES BROKER

The Securities and Exchange Commission of Pakistan having considered the application for renewal of license by **Hamza Farhad Securities (Pvt.) Limited** for securities broker under the **Trading and Self Clearing** category under section 68 of the Securities Act, 2015 and under section 51 of Futures Market Act, 2016 and being satisfied that the said company is eligible for a license, and that it would be in public interest and in the interest of the capital market so to do, hereby grants renewal of license, in exercise of the powers conferred by section 69 of the Securities Act, 2015 and under section 52 of the Futures Market Act, 2016 to **Hamza Farhad Securities (Pvt.) Limited** subject to the provisions of the Securities Act, 2015 and the Futures Market Act, 2016 and the rules and regulations made thereunder, as amended from time to time.

2. This license is valid up to December 31, 2023.

Signature of the Office



SAY NO TO CORRUPTION

SECURITIES AND EXCHANGE COMMISSION OF PAKISTAN

Securities Market Division



SECP

CERTIFICATE OF REGISTRATION AS AN AGENT

In exercise of the powers conferred by section 5A of the Securities and Exchange Ordinance, 1969 (XVII of 1969), read with Brokers and Agents Registration Rules, 2001, the Securities and Exchange Commission of Pakistan, hereby grants a certificate of registration to **Mrs. Seemal Ruby** having CNIC 61101-4365969-6 as an Agent of **M/s. Hamza Farhad Securities (Pvt.) Ltd.**, who is a Trading Right Entitlement Certificate Holder of Pakistan Stock Exchange subject to the conditions prescribed in the rules aforesaid and such other conditions as may be prescribed from time to time by the Commission.

Registration number allotted is as under.

ARP-252

This certificate of registration shall be valid till it is suspended or cancelled in accordance with the rules.

Date: **03-11-2016**

SECP

Securities and Exchange Commission of Pakistan



SAY NO TO CORRUPTION

No.485-Trading & Self Clearing-2017

Islamabad, 14-Jun-17

SECURITIES AND EXCHANGE COMMISSION OF PAKISTAN

Securities Market Division



LICENCE AS SECURITIES BROKER

The Securities and Exchange Commission of Pakistan having considered the application for licence by **Hamza Farhad Securities (Pvt.) Limited** for **Trading & Self Clearing** category of securities broker under section 68 of the Securities Act, 2015 and being satisfied that the said company is eligible for a licence under the said category, and that it would be in public interest and in the interest of the capital market so to do, hereby grants licence, in exercise of the powers conferred by section 69 of the Securities Act, 2015 to **Hamza Farhad Securities (Pvt.) Limited** subject to the provisions of the Securities Act, 2015, the rules and regulations made thereunder, as amended from time to time and subject to the following condition:

- (i) The securities broker shall not indulge in any activity other than the securities broker activity as defined in the Securities Act, 2015 and in case, any activity other than the regulated activities defined in Section 63 of the Securities Act, 2015 is provided in Memorandum of Association, the same shall be deleted/omitted from Memorandum of Association of the Company within 60-days.
2. This licence is valid up to one year from the date of issuance.



For and on behalf of
Securities and Exchange Commission of Pakistan

SECP